

# A BETTER WAY

Spectrum Markets is an exchange designed without compromise for tomorrow's retail investor.





## LIGHTING UP THE MARKET

We offer a new approach to retail trading for those who want 24/5 access to the markets with complete transparency, increased choice, and maximum control when trading securitised derivatives.

Better yet, we do this with zero transaction, zero market data and zero clearing fees for all buy side members.

We are continually removing barriers so you can join us in moving the industry forward.



# OUR JOURNEY



**OCT  
2019**

WoT Launch and the Spectrum brand is introduced to the market

**APR  
2020**

Spectrum introduces the first of its kind intraday issuance

**AUG  
2020**

Spectrum reaches **200m traded securitised derivatives**

**OCT  
2020**

Spectrum celebrates it's one year anniversary

**APR  
2021**

Spectrum celebrates record month and quarter – **245%** increase on same period in 2020

**MAY  
2021**

Introduction of customised stop orders

**JUN  
2021**

Launch of **Spectrum Spotlight** – monthly newsletter with exclusive content

**SEP  
2021**

Launch of **Turbos on Equities**

**AUG  
2019**

The launch of a new pan-European trading venue with 24/5 access to the markets

**DEC  
2019**

Month on month trading volume increases by **100%** (5.5m turbo24s traded)

**MAY  
2020**

Spectrum reaches **1m traded securitised derivatives**

**SEP  
2020**

Spectrum announces first influencer partnership with the economist **Marc Touati**

**DEC  
2020**

Average growth rate **18%** (MoM) across 2020

**APR  
2021**

Sustainability at Spectrum is launched

**JUN  
2021**

Launch of **SERIX**

**SEP  
2021**

**1 billion securitised derivatives** traded since launch

**2022 AND BEYOND**

Destined to become Europe's most trusted trading partner



## FURTHER, TOGETHER

At Spectrum we're committed to unlocking a new world of possibilities for all, ensuring progress at every step. Bringing people, technology and markets together, we're able to defy convention, cut out complexities, and progress all that is retail trading.

### For those who want more

Destined to become an indispensable partner in the pursuit of wider access to market opportunities, Spectrum is specifically designed and built for both financial institutions and the retail trading community.

A unique pan-European offering, our new approach applies game-changing technology ensuring swift and safe matching for best execution. This innovative technology allows members and their retail investors market access 24-hours a day 5 days a week.







## **NEW OPPORTUNITIES**

Unlike traditional players who are trying to keep up with increasing legislation, Spectrum is setting the pace, working closer with regulators to develop enhanced features, providing confidence and control.

Being BaFin regulated and MiFID II compliant, Spectrum's new unprecedented retail-focused trading controls provide more reliable, trustworthy access. Clear and binding prices limit risk and allow investors to make more informed decisions.

### **Sophisticated matching**

Pioneering technology means reduced risk for error with Spectrum's automated matching engine, meaning no human intervention is required. This, combined with the ability to match with other retail clients seamlessly across Europe makes this a truly innovative offering.





## AN EXCHANGE FOR THE BETTER

Change in the retail trading space is inevitable, but real improvement is uncertain. Spectrum is dedicated to achieving progress – there has never been a better time for us to challenge the status quo.

Spectrum has been devised to be the most powerful exchange, we have created a true marketplace allowing customers to see a maximum of 5 order book depths and the volume of these 5 prices enabling more informed decisions in varying market conditions.

### A history of doing different

As the latest in a long line of trader-focused innovations from the IG Group, we can call on over 45 years' of highly successful retail investor experience. Rooted in this experience, we're very much our own entity, with unique characteristics and a distinct identity. We recognise that innovation is key to unlocking more opportunities for financial institutions and their retail investors, and we are excited to introduce a pioneering new 24-hour trading venue to the market.

And as part of the IG Group family, we share its ethos that integrity, fairness and trust are as important to success as creativity.

### Based in the heart of Europe

We have chosen to establish our business in Frankfurt, Germany, giving us a firm foundation on which to access sophisticated retail investors across Europe.

Delivering complete transparency, increased choice and maximum control, our new 24-hour trading venue is about to light up the market.



# UNRIVALLED PERFORMANCE

## The Venue

- ✓ Pan-European
- ✓ MiFID II compliant
- ✓ BaFin regulated
- ✓ Pre-/post- trade transparency
- ✓ Non-discretionary rules
- ✓ Bilateral settlement

## The Product

- ✓ Securitised derivative
- ✓ Section C(1) MiFID II
- ✓ BaFin approved prospectus
- ✓ Final Terms
- ✓ Key Information Documents

## The Technicalities

- ✓ Open architecture ecosystem
- ✓ Open for trading for 24/5
- ✓ Lean connectivity structure
- ✓ Market standard technology and infrastructure
- ✓ FIX Protocol 5.0 SP2 and FIXT.1.1
- ✓ Coordinate Universal Time (UTC)

# WELCOME TO SPECTRUM'S 24 HOUR VENUE

Because the markets don't close when the sun sets.

## The time is right for 24-hour trading.

Allowing continuous market access, providing retail investors more freedom in their trading.

- For truly seamless trading with no interruptions.
- For choosing your leverage and controlling your risk.
- For turbo traders currently blocked from opportunities that present themselves at night.

The launch of Spectrum's 24-hour venue gives access to the world's first 24-hour turbo product. This game-changing offering gives retail traders the chance to shine in the dark and act on opportunities that present themselves at any time of day or night.

Our vision is to be truly multilateral with innovation at our heart and a wide range of short-term and long-term products.

Spectrum – transforming the world of trading.



# OUR COMMITMENT TO CREATING A FAIRER WORLD

At Spectrum, we have always believed that sustainability is not just an agenda to be discussed, but an agenda to be actioned.

Throughout our rapid growth we have stuck to our principles, with sustainability informing the way we shape our business. To this end, we have adopted the UN Sustainable Development Goals as a Corporate Social Responsibility framework. Our hope is not only to create a better way for retail trading, but also a better place to work for our employees, a better partnership with our members and a better world for the communities around us.

## SUSTAINABLE DEVELOPMENT GOALS





# MEET OUR TEAM

Working with you to  
unlock future opportunity.

To give financial institutions the best support possible, we are proud  
to have brought together a talented and experienced team.



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